# RESPONSE TO Intervention

BLUEPRINTS FOR IMPLEMENTATION



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# Response to Intervention Blueprints: District Level Edition

# National Association of State Directors of Special Education Council of Administrators of Special Education

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#### **FOREWORD**

The National Association of State Directors of Special Education (NASDSE) and the Council of Administrators of Special Education (CASE) believe that Response to Intervention (RtI), sometimes referred to as Response to Instruction, holds great promise as an instructional process to benefit all children and youth. NASDSE's RtI publications, *Response to Intervention: Policy Considerations and Implementation* and *Response to Intervention: Research For Practice* have been disseminated throughout the country to thousands of people interested in learning about RtI.

NASDSE and CASE believe there is a need for additional publications to help policymakers and implementers focus on the components of a framework or blueprint to guide the implementation of Rtl. Professionals from around the country with experience and expertise in Rtl implementation at the state, local district and school building levels agreed to contribute by writing and/or editing blueprints. This publication, *Response to Intervention Blueprints: District Level Edition* is one of three publications. The other publications in this series are the school building level and state level editions.

The documents can be downloaded free-of-charge from NASDSE's website at <a href="www.nasdse.org">www.nasdse.org</a> and CASE's website at <a href="www.nasdse.org">www.nasdse.org</a> and handling from NASDSE.

Appreciation is extended to the lead authors, contributors, reviewers and others who made the blueprints a reality. These individuals are listed in the front of each blueprint. Special appreciation is extended to NASDSE staff members Nancy Reder and Christine Cashman for their tireless work in editing the final documents. We hope the *Blueprints* will be helpful in your work so that the educational performance of our nation's children and youth will be improved.

Bill East, Ed.D. Executive Director NASDSE Luanne Purcell, Ed.D. Executive Director CASE

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The National Association of State Directors of Special Education (NASDSE) and Council of Administrators of Special Education (CASE) express their gratitude to the initial writing team members who contributed their time and expertise to the development of this *District Level Blueprint*. These individuals provided the foundational knowledge and wisdom that evolved into this document.

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# Response to Intervention Blueprints: District Level Edition

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#### **EXECUTIVE SUMMARY**

Response to Intervention (RtI) is the practice of providing high quality instruction and interventions matched to student need, monitoring progress frequently to make decisions about changes in instruction or goals and applying student response data to important educational decisions. Rtl should be applied to decisions in general, remedial and special education, creating a well-integrated system of instruction/intervention guided by student outcome data.

#### Student outcome data are crucial to:

- make accurate decisions about the effectiveness of general and remedial education instruction/interventions;
- undertake early identification/intervention with academic and behavioral problems;
- prevent unnecessary and excessive identification of students with disabilities;
- make decisions about eligibility for special programs, including special education; and
- determine individual education programs and deliver and evaluate special education services.

The purpose of the *Blueprint documents* is to provide a framework around which implementation of Rtl can be built. The *Blueprints* build on a previous definitional and policy document published by NASDSE, *Response to Intervention: Policy Considerations and Implementation* (Batsche, G., Elliott, J., Graden, J., Grimes, J., Kovaleski, J., Prasse, D., Reschly, D., Shrag, J., & Tilly, D., 2005). There will be three *Blueprints* in this series: one each at the state, district and building level to guide implementation. These documents were created to provide concrete guidance to implementation sites. The documents are not rigid in their construction. That is, one of the key lessons of large-scale system change is that change must be driven by both principles and practices. The *Blueprints* in many cases specify functions that must be accomplished rather than specific practices that must be adopted. This structure allows implementation districts to tailor their applications by selecting practices consistent with the principles, maintaining the integrity of the model and building buy-in and ownership as they implement.

#### The *Blueprints* address the following key points:

- There are critical components of Rtl implementation that if not attended to can render otherwise acceptable implementations ineffective.
- The school building is the unit of change in Rtl. Multiple buildings within a district can implement Rtl, but their implementations will likely be somewhat different.
- District-level supports must be systematically built in to support building-level implementation.
- State-level supports must be systematically built to support district- and building-level implementation.
- Building change should be guided by the answers to key questions. By answering a specific set of interrelated questions, using the scientific research and site-based data, buildings can be assured that they are implementing the major components of Rtl. Specific mandated answers to these questions should not be imposed uniformly across all buildings.

Implementation of RtI in practice typically proceeds through three stages:

- 1. Consensus building where Rtl concepts are communicated broadly to implementers and the foundational "whys" are taught, discussed and embraced.
- 2. Infrastructure building where districts and sites examine their implementations against the critical components of RtI, find aspects that are being implemented well and gaps that need to be addressed. Infrastructure building centers around closing these practice gaps.
- 3. Implementation where the structures and supports are put in place to support, stabilize and institutionalize Rtl practices into a new "business as usual."

This *District Level Blueprint* outlines the components of a district level strategy to implement RtI district-wide and provide ongoing support to individual sites. Districts will need to assess these components in the context of their own structures and relationships with both their state education agencies and the individual schools that make up their district.

#### RESPONSE TO INTERVENTION: A WORKING DEFINITION 1

The *Blueprints* are designed to provide practical guidance to state education agencies (SEAs), local education agencies (LEAs) and approved school buildings regarding the development, implementation and evaluation of Response to Intervention (RtI) as a means to improve educational outcomes and decision making.

Rtl is the practice of (1) providing high quality instruction/intervention matched to student needs and (2) using learning rate over time and level of performance to (3) make important educational decisions. These components of Rtl are essential to the development of a successful Rtl implementation strategy.

- 1. HIGH QUALITY INSTRUCTION/ INTERVENTION is defined as instruction or intervention matched to student need that has been demonstrated through scientific research and practice to produce high learning rates for *most* students. Individual responses to even the best instruction/intervention are variable. Selection and implementation of scientifically based instruction/intervention markedly increases the probability of, but does not guarantee positive individual response. Therefore, *individual* response is assessed in Rtl and modifications to instruction/intervention or goals are made depending on results with *individual* students.
- 2. LEARNING RATE AND LEVEL OF PERFORMANCE are the primary sources of information used in ongoing decision making. Learning rate refers to a student's individual growth in achievement or behavior competencies over time. Level of performance refers to a student's relative standing on some dimension of achievement/performance compared to expected performance (either criterion- or norm-referenced). Learning rates and levels of performance vary significantly across students. Most students with achievement or behavioral challenges respond positively to explicit and intense instruction/interventions. Decisions about the use of more or less intense interventions are made using information on learning rate and level. More intense interventions may occur in general education classrooms or pull-out programs supported by general, compensatory or special education funding.
- 3. IMPORTANT EDUCATIONAL DECISIONS about intensity and the likely duration of interventions are based on individual student response to instruction across multiple tiers of intervention. Decisions about the necessity of more intense interventions, including eligibility for special education and/or exit from special education or other services, are informed by data on learning rate and level.

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<sup>&</sup>lt;sup>1</sup> Batsche, G., Elliott, J., Graden, J., Grimes, J., Kovaleski, J., Prasse, D., Reschly, D., Schrag, J., & Tilly, D. (2005). *Response to Intervention: Policy Considerations and Implementation*. Alexandria, VA: National Association of State Directors of Special Education.

#### **HOW TO USE THIS DOCUMENT**

This document has been created using the analogy of a blueprint in architecture or construction. A blueprint has a number of characteristics. First, it is a document that is intended to take conceptual material and make it concrete. So, while the architect has to do many calculations and prepare diagrams to make sure the final product is sound, so too, this document draws on both experience and science as implementation guides. Second, blueprint diagrams are intended to provide critical information about construction. They tell you, for example, where to put walls so that the structure is sound. They do not tell you, however, how to build walls, what color to paint the walls or what specific materials to build the wall out of. It is assumed that there is reasonable variation allowable in these things. However, the blueprint is clear, if you neglect to put walls where the plan tells you to, you can be assured that the final product will not be sound. So it is with Rtl. There are clear parameters that must be attended to in building your "Rtl house." They are all critical in that if any of them are neglected or ignored, the integrity of the final product could be compromised.

This *Blueprint* is written in a three-column outline format. In the first column, critical implementation components are identified and described. These are the components that must be attended to in each Rtl implementation. In the second column, resources are identified that might be used by implementers as they go about building their Rtl models. The resources listed should not be considered comprehensive, but illustrative. We erred on the side of identifying Web-based resources to the extent available, since these are the ones most readily accessible. In addition, an online search on Response to Intervention will provide an increasing number of excellent resources on Rtl. The third column contains "wisdom from the field." The wisdom in this section was provided by experts from many Rtl implementations throughout the country. These individuals have been implementing Rtl concepts for many years in practice and have experienced all of the predictable challenges associated with its implementation. Content in the third column is meant to be practical and directly relevant to persons implementing Rtl.

Whether your district is considering implementation of RtI practices for the first time or has been implementing for years, there are two ways that this *Blueprint* may be of use. First, reading through each *Blueprint* in its entirety will provide a holistic overview of the steps needed to implement RtI in practice. Schools and districts may use the *Blueprint* as one of the foundational documents for both their "consensus building" and "infrastructure development" phases. Second, each *Blueprint* contains a simple self-assessment keyed to the overall document. This self-assessment can be used by RtI leaders to review with implementers the current state of practices in their building, district or state and to help identify gaps in implementation. These gaps in turn can be used to target additional infrastructure development in implementation sites. Over time you will be able to add your own resources and wisdom to these documents to pass along to your state, district and building level implementers.

#### Response to Intervention Blueprints: District Level Edition

#### **Component 1: Consensus Building**

#### Objectives for District Level Consensus Building

- Develop a shared vision that Response to Intervention (RtI) is an "all education initiative" led by general education and that RtI and problem-solving will result in more productive and equitable outcomes for students.
- · Identify the administrative support structures necessary for systemic planning and implementation of Rtl.
- Identify the stakeholders in the district, inform them about Rtl and assure the stakeholders that their input will be considered in the development of the infrastructure.
- Develop a common understanding regarding the scope of RTI implementation.

Step	Resources Available	Wisdom from the Field			
Action 1: De	Action 1: Develop an action plan to facilitate the sharing of information				
and th	ne building of districtwide consensus to support	Rtl.			
Step 1: Develop an action plan to identify stakeholders in the district, share information and gain consensus to support Rtl.	<ul> <li>Hall, G. E., &amp; Hord, S. M. (2001). Implementing change: Patterns, principles and potholes. Boston: Allyn and Bacon.</li> <li>Deming, W. Edwards (n.d.). Total quality management and Deming's 14 points. Retrieved March 29, 2007, from <a href="http://www.mftrou.com/edwards-deming.html">http://www.mftrou.com/edwards-deming.html</a></li> <li>Baldrige National Quality Program. (2007). Education criteria for performance excellence. Retrieved May 16, 2007, from <a href="http://www.baldrige.nist.gov">www.baldrige.nist.gov</a></li> <li>Center on Positive Behavioral Interventions and Supports. (2004). School-wide positive behavior support: Implementers' blueprint and self-assessment. Retrieved May 16, 2007, from <a href="http://www.pbis.org">www.pbis.org</a></li> </ul>	The district should develop and maintain an action plan and review the plan on a regular basis to guide its work.			
Action 2: Provide	Action 2: Provide information to internal and external stakeholders about Rtl.				
Step 1: Provide information about	Batsche, G., Elliott, J., Graden, J., Grimes,	<ul> <li>Use simple terms and a</li> </ul>			
Rtl practices. These include	J., Kovaleski., J., Prasse., D., Reschly, D., Schrag, J., &	common language; avoid			
educational activities containing	Tilly, D. (2005). Response to intervention: Policy	jargon and acronyms.			
information on the following:	considerations and implementation. Alexandria, VA:	Presenters/trainers should be			
the definition of RtI;	National Association of State Directors of Special	aware and mindful of where			

Step	Resources Available	Wisdom from the Field
components of Rtl; research on Rtl; benefits of implementing Rtl; barriers to implementing Rtl; changes to be expected when implementing Rtl; required commitments and resources needed to implement Rtl; and examples of exemplars of Rtl implementation.	Education, Inc.  National Association of State Directors of Special Education. (2006, May). NASDSE and CASE white paper on Rtl, available at http://www.nasdse.org/documents/RtlAnAdministrators Perspective1-06.pdf  National Association of State Directors of Special Education. (2006, May). Response to intervention, available at http://www.nasdse.org/projects.cfm?pageprojectid=23  Reschly, D. J., Tilly III, W. D., Grimes, J. P. (Eds.). (1999). Special education in transition. Longwood, CO: Sopris West.  Lau, M. Y., Sieler, J. D., Muyskens, P., Canter, A., VanKeuren, B., & Marston, D., (2006). Perspectives on the use of the problem-solving model from the viewpoint of a school psychologist, administrator and teacher from a large midwestern urban school district. Psychology in the Schools, 43(1).  Shinn, M. R., Walker, H. M., & Stoner, G. (2002). Interventions for academic and behavior problems II: Preventive and remedial approaches. NASP Publications: Bethesda, MD.  The Florida Center for Reading Research, http://www.fcrr.org  NCLD Rtl Action Network, www.rtinetwork.org  Illinois ASPIRE: Alliance for School-based Problemsolving and Intervention Resources in Education, http://www.illinoisaspire.org/welcome	staff may be in the change process. Include a variety of activities in the presentation. Differentiate the reading resources. Be sure to build in reflection and thinking time. It is important to have a vision: To provide effective interventions to meet the needs of ALL students through early and scientifically based interventions and careful systems planning.
<ul> <li>Step 2: Establish the rationale for district adoption of Rtl practices</li> <li>Demonstrate how Rtl systematically connects to the Elementary and Secondary Education Act (ESEA),</li> </ul>	<ul> <li>Cuyahoga Special Education Service Center. (2006, June – August). Ohio Integrated Systems Model (OISM), <a href="http://www.csesc.org/pass_oism.aspx">http://www.csesc.org/pass_oism.aspx</a></li> <li>Learning First Alliance. (2003, March). Beyond islands of excellence: What districts can do to improve instruction and achievement in all schools –</li> </ul>	<ul> <li>Consider asset mapping to begin the dialogue of what the district currently has and does for instruction.</li> <li>Determine how the district operationalizes adequate</li> </ul>

Step	Resources Available	Wisdom from the Field
<ul> <li>Individuals with Disabilities Education Act (IDEA) and other federal and state statutes and regulations.</li> <li>Analyze and demonstrate how Rtl systematically connects and is interdependent with existing district goals, mission, priorities and initiatives.</li> <li>Make direct connections to the potential impact on district values and beliefs.</li> </ul>	A Leadership Brief, http://www.learningfirst.org	yearly progress (AYP).  Consider holding a "Data Forum."  Rtl research and practice should be woven into consensus-building presentations given throughout the district.
Step 3: Identify internal and external partners who have a vested interest in Rtl.		<ul> <li>Build momentum for RtI by collaborating with other groups to seek local and state government support.</li> <li>Consider community organizations (e.g., 4-H, Parks and Recreation department) and businesses as potential external partners.</li> <li>Consider parent and community liaisons and school board members as internal partners.</li> <li>Be sure to include remedial, general and special education personnel, itinerant or related services personnel and special programs (e.g. Reading First) as internal partners.</li> <li>Review websites from national organizations for</li> </ul>

Step	Resources Available	Wisdom from the Field
Step 4: Develop a communication plan:  • identify who will provide the information;  • how the information will be shared; and  • when the information will be communicated.	Resources Available	current Rtl initiatives.  Be cautious about accepting outside funding if it is not targeted to the focus of Rtl.  People from different departments should be enlisted to make presentations to staff.  Curriculum and instruction personnel are essential in all aspects of consensus building.  Be strategic in laying the groundwork with individuals prior to holding a meeting.  Ensure that participants
		<ul> <li>understand the purpose for the meeting.</li> <li>Make explicit links to prior knowledge and/or vocabulary.</li> <li>Keep the presentation simple.</li> </ul>
Action 3: Exam	ine and define district structures to support yo	· · · · · · · · · · · · · · · · · · ·
Step 1: Identify current district/central office leadership structures.	District organizational chart	Be sure to include all central office positions that impact and/or support school sites.
Step 2: Identify roles and responsibilities of each administrator.	Job descriptions and/or past practice	Define roles/expectations of departments.
Step 3: Identify the current system(s) of accountability.	District evaluation procedures or protocols	Know how decisions are made and how leadership and accountability are monitored.

Step	Resources Available	Wisdom from the Field
Step 4: Define the leadership structures, roles and responsibilities of district/central office staff and systems of accountability needed to implement RtI; modify the current system as needed.		Examine current initiatives in each leadership role and responsibility that can support consensus building and infrastructure (e.g., Baldridge, Strategic Planning).
Step 5: Identify funding sources that may be used to support Rtl.	District budget including grants (federal and state), outside resources and funding (e.g., foundations)	<ul> <li>Be sure to know what resources exist in the district and parameters for spending.</li> <li>Look at current funding sources and the opportunity to comingle or braid funds to meet targets and goals of initiative.</li> </ul>
Step 6: Define the decisions to be made at the district level versus the building level (e.g., resource reallocation, purchasing materials.)		<ul> <li>Know what is a cabinet-level decision (e.g., executive staff and superintendent) versus principal/site decision.</li> <li>Define decision making parameters.</li> </ul>
Action 4: Build c	onsensus and support from internal and externa	al stakeholders.
<b>Step 1:</b> Discuss the resources and commitments necessary to build consensus.		
Step 2: Identify the level of agreement or consensus needed to proceed with RtI.		
Step 3: Obtain consensus from district/central administration, internal and external stakeholders.		
Step 4: Document agreements in writing.	<ul> <li>Council of Chief State School Officers. (2006, December 11). State collaborative on assessment and students standards,</li> </ul>	

Step	Resources Available	Wisdom from the Field
	http://www.ccsso.org/projects/SCASS/  The Council of Chief State School Officers.  Comprehensive assessment system for ESEA Title I 2006-2007,  http://www.ccsso.org/Projects/SCASS/Projects/Comprehensive Assessment Systems for ESEA Title I/  Ball Foundation. (n.d.). Consensus decision making, http://www.ballfoundation.org/ei/tools/consensus/steps-print.html	

#### **Component 2: District Infrastructure Building**

#### Objectives for District Level Infrastructure Building

- Have all the components required for Rtl 'roll out' in place.
- · Define the policies and procedures regarding how to implement Rtl and problem-solving.
- · Complete a needs assessment to identify areas of strength and areas of need related to an Rtl system.
- Outline an evaluation plan and identify the data management system(s) that will be used to support Rtl implementation.
- Develop a plan to define how the district, at all levels, will support the implementation of RtI through systemic technical assistance and professional development.

Step	Resources Available	Wisdom from the Field	
	Action 1: Form a District Leadership Team.		
Step 1: Form a district leadership team that is representative of district departments or programs (e.g., administration, general education, special education, English language learners (ELL), evaluation and	International Center for Leadership in Education's website, <a href="http://www.leadered.com/">http://www.leadered.com/</a> has additional leadership resources.	It is imperative that the district leadership team includes trans-disciplinary or cross-departmental personnel that can help plan, provide input and support Rtl. Do not forget about itinerant personnel.	

Step	Resources Available	Wisdom from the Field
accountability).		
Step 2: Identify how the team will function (e.g., meeting dates and times, meeting processes, roles/functions within the team).	he roles that District/Central Administration will play	in implementing Rtl
Step 1: Define the role(s) of district/central office administrators in implementing Rtl.	ne roles that District/Central Administration will play	in implementing ixti.
Step 2: Define the role of those who will supervise principals during implementation of Rtl.		<ul> <li>This is critical information for accountability.</li> <li>This also garners support and backup when needed in tough stages of site implementation and needed follow-through.</li> </ul>
Step 3: Define the role of the building principal in implementing Rtl.		<ul> <li>This will help identify who and what kind of leadership training, including coaching support, is needed for principals.</li> <li>Connect the standards from the National Association of Secondary School Principals, National Association of Elementary Principals and other professional groups to the Rtl initiative.</li> </ul>

Step	Resources Available	Wisdom from the Field
Step 4: Define the role of central office support staff in supporting the implementation of Rtl.		This helps articulate the initiative throughout all layers of the organization
Action	3: Develop and complete a district-level needs asse	ssment.
Step 1: Analyze the district's instructional initiatives or frameworks that support universal instruction.	<ul> <li>Vaughn Gross Center for Reading and Language Arts, <a href="http://www.texasreading.org/utcrla">http://www.texasreading.org/utcrla</a></li> <li>Big Ideas in Beginning Reading, <a href="http://reading.uoregon.edu">http://reading.uoregon.edu</a></li> <li>The Consortium on Reading Excellence (CORE), <a href="http://www.corelearn.com">http://www.corelearn.com</a></li> <li>Mathematics Learning Study Committee, National Research Council, <a href="http://www.nap.edu/catalog/9822.html">http://www.nap.edu/catalog/9822.html</a></li> <li>The OSEP Technical Assistance Center on Positive Behavioral Interventions and Supports, <a href="http://www.pbis.org/main.htm">http://www.pbis.org/main.htm</a></li> <li>Randy Sprick's Safe and Civil Schools, <a href="http://www.safeandcivilschools.com">http://www.safeandcivilschools.com</a></li> </ul>	<ul> <li>Universal instruction needs to be clearly defined.</li> <li>Utilize instructional strategies for which all students have access to and all teachers and administrators are trained in.</li> </ul>
Step 2: Analyze the district's instructional initiatives or frameworks that support strategic instruction (Tier II).	<ul> <li>The Florida Center for Reading Research,         <a href="http://www.fcrr.org">http://www.fcrr.org</a></li> <li>U.S. Department of Education: Institute of Education         Sciences, What Works Clearinghouse,         <a href="http://ies.ed.gov/ncee/wwc/">http://ies.ed.gov/ncee/wwc/</a></li> <li>Illinois Statewide TA Center, Illinois PBIS network,         <a href="http://www.pbisillinois.org">http://www.pbisillinois.org</a></li> <li>KU Center for Research on Learning: The University of         Kansas, <a href="http://www.ku-crl.org">http://www.ku-crl.org</a></li> <li>Center on Positive Behavioral Interventions and Supports,         <a href="http://www.pbis.org/main.htm">http://www.pbis.org/main.htm</a></li> <li>Randy Sprick's Safe and Civil Schools,         <a href="http://www.safeandcivilschools.com">http://www.safeandcivilschools.com</a></li> </ul>	The key is for personnel to understand that Rtl is not an add-on; it is the conceptual framework or blueprint from which all work is being done in the district.
Step 3: Analyze the district's instructional initiatives or frameworks that support intensive instruction (Tier III).	<ul> <li>The Florida Center for Reading Research, <a href="http://www.fcrr.org">http://www.fcrr.org</a></li> <li>U.S. Department of Education: Institute of Education Sciences, What Works Clearinghouse,</li> </ul>	The key is for personnel to understand that Rtl is not an add-on; it is the conceptual framework or blueprint from

Step	Resources Available	Wisdom from the Field
Step 4: Analyze the resources and support structures available to support the implementation of Rtl.	<ul> <li>http://ies.ed.gov/ncee/wwc/</li> <li>KU Center for Research on Learning: The University of Kansas, <a href="http://www.ku-crl.org">http://www.ku-crl.org</a></li> <li>Center on Positive Behavioral Interventions and Supports, <a href="http://www.pbis.org/main.htm">http://www.pbis.org/main.htm</a></li> <li>Randy Sprick's Safe and Civil Schools, <a href="http://www.safeandcivilschools.com">http://www.safeandcivilschools.com</a></li> <li>Flexible Service Delivery System at <a href="http://www.ilispa.org/modules/smartsection/item.php?itemid=105">http://www.ilispa.org/modules/smartsection/item.php?itemid=105</a></li> </ul>	which all work is being done in the district.
Step 5: Conduct a gap analysis and develop an action plan to address identified needs.		Use the needs assessment results to examine and reallocate current resources to make Rtl a reality given existing fiscal constraints.
	Discuss and make decisions about the necessary co	•
Step 1: Discuss and make decisions about universal instruction:  • What features are expected to be in place in universal instruction?  • What does universal instruction look like at the elementary, middle school and high school levels?  • Is there an instructional framework that articulates essential elements of effective instruction for all students?	<ul> <li>Rtl across universal, strategic and intensive instruct</li> <li>Batsche, G., Elliott, J., Graden, J., Grimes, J., Kovaleski., J., Prasse., D., Reschly, D., Schrag, J., &amp; Tilly, D. (2005). Response to intervention: Policy considerations and implementation. Alexandria, VA: National Association of State Directors of Special Education, Inc.</li> <li>State of Washington. (n.d.). Reading. Retrieved May 11, 2007, from <a href="http://www.k12.wa.us/CurriculumInstruct/Reading/default.as">http://www.k12.wa.us/CurriculumInstruct/Reading/default.as</a></li> </ul>	<ul> <li>This discussion should be framed within the context of the three tiers.</li> <li>Decisions need to be reflective of all levels (elementary, middle and high school).</li> <li>Be sure to examine current systems in place (e.g., literacy model across the district) to make direct connections to what works and reexamine what is not working.</li> </ul>

Step	Resources Available	Wisdom from the Field
<ul> <li>Step 2: Discuss and make decisions about strategic instruction: <ul> <li>What features are expected to be in place in strategic instruction?</li> <li>What does strategic instruction look like in a school?</li> <li>Will the district use a standard treatment protocol or a diagnostic-prescriptive process for strategic instruction?</li> <li>Can buildings select their own interventions or will they be asked to use a standard set of supplemental interventions?</li> </ul> </li> </ul>		<ul> <li>It is important to recognize the work that is already being done in sites across the district.</li> <li>Use data to identify places where strategic instruction is occuring and getting results.</li> <li>Avoid making sites stop using effective practices due to a district mandate that ignores current instructional practices that are getting results for all students.</li> <li>Necessarily decide what schools must implement and where autonomous decision making (or site-based decision making) is approved.</li> </ul>
<ul> <li>Step 3: Discuss and make decisions about intensive instruction: <ul> <li>What features are expected to be in place in intensive instruction?</li> <li>What does intensive instruction look like in a school?</li> </ul> </li> </ul>		(Same comments as above)
Step 4: Discuss and make decisions about the assessment system:  • What screening measures will be given in the district?		<ul> <li>This is a good time to evaluate what assessments are being used and/or mandated by the district.</li> <li>Do an evaluation of district assessments (include</li> </ul>

Step	Resources Available	Wisdom from the Field
<ul> <li>What diagnostic assessments will be used in the district?</li> <li>What progress monitoring assessments will be used in the district?</li> </ul>		teachers and other stakeholders) to be sure practitioners have the right assessments for the purpose for which they are assessing. • Evaluate whether district assessments are in fact being used at the sites to drive instructional decision making.
Action	5: Review and discuss the current performance of s	9
in	relation to universal, strategic and intensive instruct	ion.
Step 1: Identify the criteria for each of the three tiers of instruction (universal, strategic and intensive) based on research and mandates in the targeted content areas and grade levels.		<ul> <li>Be sure to use multiple measures in setting criteria for tiers.</li> <li>Do not be surprised by how political these decisions can be.</li> <li>Try to focus on best practice in the field and what the research says.</li> </ul>
Step 2: Examine data to determine the percentage of students that fall into each tier based on the identified criteria. Identify the desired percentage of students that should fall into each tier (e.g, Do we want 85% of all students meeting proficiency in core? Do we want to see only 5% of all students needing intensive instruction?)		It is critical to have clean data analysis to help decide on the criteria for, and percentage of, each tier.

Step	Resources Available	Wisdom from the Field
Step 3: Conduct a gap		
analysis for each tier.		
Compare the current percent		
of students' proficiency to the		
desired percent of proficient		
students and identify the gap		
between the two.		
<b>Step 4:</b> Analyze the data to		It is absolutely critical that
determine why there is a difference in the desired		curriculum and instruction personnel are on board at
outcomes and actual student		•
performance at each tier		this point.
(universal, strategic and		
intensive).		
,	n 6. Identify an avaluation plan and data collection a	votom
	on 6: Identify an evaluation plan and data collection s	
<b>Step 1:</b> Develop a district-wide		Be sure to develop and
<ul><li>evaluation process to assess:</li><li>the extent to which site</li></ul>		implement a plan for
		treatment integrity at all three tiers.
teams are implementing the features of RtI;		three tiers.
<ul> <li>the leatures of RtI,</li> <li>the impact of RtI on</li> </ul>		
student outcomes; and		
the effectiveness of		
various		
programs/practices used		
for strategic and intensive		
instruction.		
Step 2: Determine the data		Consider establishing a
management system that will		work group from the
be used to assist in		leadership team to discuss
maintaining and summarizing		technology needs and other
tiered data.		tools for data-based
		monitoring. Be sure to
		include principals and

Step	Resources Available	Wisdom from the Field
		teachers in this process.  It is critical to have data at the fingertips of school sites — easily accessible and in a useful format.
Step 3: Assess the need of the district and school sites in the use of technology for gathering and using data for Rtl.		<ul> <li>It is important to provide implementers with technology for data collection and reduction of laborious paperwork.</li> <li>Have a stakeholder group provide information on needs.</li> </ul>
Step 4: Based upon the results of the technology needs assessment, establish and provide the necessary technological tools to facilitate the gathering and use of Rtl data.	<ul> <li>Intervention Central, <a href="http://www.interventioncentral.org">http://www.interventioncentral.org</a></li> <li>University of Oregon Center on Teaching and Learning, <a href="http://dibels.uoregon.edu">DIBELS, <a href="http://dibels.uoregon.edu">http://dibels.uoregon.edu</a></a></li> <li>SWIS: School-wide Information System, <a href="http://www.swis.org">http://www.swis.org</a></li> <li>National Center on Student Progress Monitoring, <a href="http://www.studentprogress.org">http://www.studentprogress.org</a></li> </ul>	Based on where you are as a district, this may take a year, more or less to establish. It is important to develop a plan to move data systems to where they need to be to support district-wide RtI implementation.
<b>Step 5:</b> Provide training on the use of the technology tools as needed.		
Action	7: Develop an action plan to guide the implementation	on of Rtl.
Step 1: Integrate Rtl with school improvement plans and other district initiatives.		<ul> <li>Rtl is not an "add on;" it must be integrated into other initiatives and ultimately become "business as usual."</li> <li>Make sure everyone knows what the requirements and parameters are for specific federal- and state-funded</li> </ul>

Step	Resources Available	Wisdom from the Field
Step 2: Develop and deploy an integrated professional development plan across personnel (e.g., staff, parents, administrators) and across content domains.		programs as well as the possibility of incorporating commingling Rtl efforts.  • Be sure to include crossdepartmental presenters/trainers.  • Be sure to include central office personnel as well as those from sites to demonstrate/send the message that this is both a top down and bottom up effort of accountability for Rtl implementation.  • Consider differentiated professional development based on experience, roles and responsibilities.
Step 3: Develop a plan for coaching support to build capacity and sustain support to sites that builds on the professional development plan.  Step 4: Develop a vehicle for		<ul> <li>You may need to reallocate current resources to provide coaching supports.</li> <li>Develop a plan to provide coaches specific and purposeful professional development for their role and include it in your plan to systematically support them.</li> <li>It is important to establish</li> </ul>
feedback and problem-solving support (e.g., where to go for information and whom to call with concerns).		point people for technical assistance and problem-solving.
<b>Step 5:</b> Identify a plan to develop strong, well-trained school-based problem-solving		<ul> <li>It is absolutely necessary to put a plan in place that outlines a timeline for</li> </ul>

Step	Resources Available	Wisdom from the Field
teams that can provide 'on- the-ground' support for teachers, students and parents.		training site personnel in all aspects of Rtl. The plan must be sustainable and provide on-going training throughout several years. It is not a one shot training model!  If problem-solving teams do not exist or are weak – train, train, train.
Step 6: Determine how RtI data will be used in evaluations for students suspected of having a disability and communicate this information to building staff.		<ul> <li>School psychologists and other school-based team members need to be trained and embrace Rtl.</li> <li>Be sure all personnel are aware and understand the approach in order to avoid the feeling of a stalling or wait to fail model of eligibility.</li> </ul>
Step 7: Develop a systemic and thoughtful communication plan and schedule to follow as RtI is implemented; identify the nature, amount and frequency of information needed by various stakeholders.		
Step 8: Define which decisions will be made at the district level and those to be made at the building level during the implementation of RtI.		Again, provide guidance to sites as to what the parameters are for decision making – who can make what decisions. Avoid top down mandates that stall the work at sites.

#### **Component 3: District Level Implementation**

#### Objectives for District Level Implementation

- The district will have the necessary systemic supports in place to ensure successful implementation of Rtl.
- The district will implement a multiyear implementation and professional development plan that provides ongoing and sustained support for RtI implementation.
- The district will use a systemic evaluation plan to assess the impact of RtI on student, site, district and personnel outcomes.

Step	Resources Available	Wisdom from the Field	
Action 1: Develop a multi-y	Action 1: Develop a multi-year (at least 3-5 years) action plan to address implementation.		
Step 1: Specify, in writing, the procedures for robust implementation of Rtl.	<ul> <li>Hall, G. E., &amp; Hord, S. M. (2001). Implementing change: Patterns, principles and potholes. Boston: Allyn and Bacon.</li> <li>Batsche, G., Elliott, J., Graden, J., Grimes, J., Kovaleski., J., Prasse., D., Reschly, D., Schrag, J., &amp; Tilly, D. (2005). Response to intervention: Policy considerations and implementation. Alexandria, VA: National Association of Sate Directors of Special Education, Inc.</li> </ul>	<ul> <li>It is a marathon not a sprint. Revisit the change process often.</li> <li>Consider using an implementation checklist.</li> <li>Be sure to use cross-categorical trainers for all professional development.</li> <li>Coaches should meet separately and regularly.</li> <li>Have separate meetings for district/central leadership.</li> <li>Support forums for principals.</li> <li>Coaches must be provided with training in data use.</li> <li>Have regularly scheduled data review and sharing meetings.</li> </ul>	
Step 2: Define, develop and provide district professional development tailored to staff needs and experiences.		<ul> <li>Plan for differentiated professional development based on need.</li> <li>District-wide professional development should be geared to Rtl.</li> <li>All efforts in professional development should directly connect to Rtl implementation (e.g., math professional development is</li> </ul>	

Step	Resources Available	Wisdom from the Field
		embedded within the Rtl framework, etc.).
Step 3: Develop a plan to build capacity at the district and school building levels.		<ul> <li>Plan to differentiate capacity building by role and responsibility (e.g., capacity building for principal, psychologist, data coach, etc.).</li> <li>Create an evaluation plan to measure the effectiveness of these efforts.         How do you know capacity has been built?     </li> </ul>
<b>Step 4:</b> Identify meeting times and structures for monthly Rtl support meetings.		
<b>Step 5:</b> Include a plan to address the ongoing dissemination and sharing of implementation progress and outcome data.		
<b>Step 6:</b> Develop a plan to address attrition or succession of staff.		Absolutely proactively plan for this!
Action 2: Im	plement the Rtl professional develo	pment plan.
Step 1: Identify a district/central training team.	-	<ul> <li>Be sure a cross-departmental team is pulled together reflecting all offices.</li> <li>This training must be well-planned, invigorating and extremely well-delivered. Use your best trainers.</li> <li>Be sure to adhere to adult learning strategies when training.</li> </ul>
Step 2: Provide the district training team with sufficient time and resources to plan, develop, adopt and/or adapt Rtl professional development for the district.		The district training team should be working collaboratively with the office of curriculum, professional development and those that supervise schools to be sure training is integrated throughout all efforts currently underway in the district.

Step	Resources Available	Wisdom from the Field
Step 3: Train building level teams.		Be sure this is sustained and on-going throughout each year.
Step 4: Have each building level team develop an implementation plan that includes an evaluation component.		<ul> <li>Visit other successful districts and schools where Rtl is successfully implemented.</li> <li>Participate in webcasts, etc. that are offered by national organizations.</li> </ul>
Step 5: Resources are delivered as planned by central office administration (e.g., professional development, personnel, funding) to support the implementation of Rtl.		All allocated resources are aligned to and driven by the RtI framework.
Action 3: Implement the	e evaluation and data analysis plan	for Rtl implementation.
<b>Step 1:</b> Data management systems and technology are implemented that allow the progress monitoring of district, school, classroom and individual student progress.		Be sure to include data management and technology personnel.
Step 2: Develop a method to evaluate the implementation of universal, strategic and intensive interventions and their impact on student achievement.	Self assessments     Self assessment tool available at www.swoserrc.org/	<ul> <li>It is very important to reconnect to self-assessments or needs assessments to ensure you are moving in the desired direction.</li> <li>Reconnect/revisit your original goal for percentages of students in each of the tiers.</li> <li>Be sure to use and model the use of a problem-solving approach to determine next steps.</li> </ul>
<b>Step 3:</b> Collect evaluation data for each building; aggregate these data up to the district level.		<ul> <li>Be sure to identify who at the school sites will be responsible for systematically collecting data.</li> <li>Be sure to provide data training and use workshops.</li> </ul>

Step	Resources Available	Wisdom from the Field		
Action 4: Maintain the implementation of Rtl.				
<ul> <li>Step 1: Schedule regular meetings for the district leadership team to: <ul> <li>review progress of sites;</li> <li>review evaluation data;</li> <li>manage project resources;</li> <li>troubleshoot any issues that arise; and</li> <li>adjust implementation plans as needed based upon the evaluation information.</li> </ul> </li> </ul>		<ul> <li>Be sure to create a year long or semester schedule of meetings. This ensures participants have the meeting date on their calendar in advance.</li> <li>Be sure that the message is clear that the meetings are expected to be attended by all district leadership team members.</li> <li>Make sure meetings are planned, purposeful and collaborative. Avoid "it's just another meeting" mentality.</li> </ul>		
Step 2: Implement the communication plan as designed.		Be sure to provide regular feedback at board of education meetings, parent, and community meetings as appropriate.		

## **Response to Intervention: District Level Self-Assessment**

The purpose of this section is to help building staff determine the extent to which the different components of Response to Intervention are in place at the district level. For each item below, indicate the extent to which that item is in place using the scale in the column labeled "Implementation Rating." Action planning can be documented in the final column.

RATING SCALE		
0 = No evidence available or no work	1 = Some evidence that work has started to	2 = Component fully implemented and
has been done to start	implement and is ongoing.	in place.
implementation.		

### **Component 1: Consensus Building**

Step	Implementation Rating (0, 1, 2)	Action Planning and Activities	
Action 1: Develop an action plan to facilitate the sharing of information and the building of districtwide consensus to support Rtl.			
<b>Step 1:</b> Develop an action plan to identify stakeholders in the district, share information and gain consensus to support Rtl.			
Action 2: Provide information to internal and external stakeholders about Rtl.			
Step 1: Provide information about Rtl practices. These include educational activities containing information on the following:  • the definition of Rtl;  • components of Rtl;  • research on Rtl;  • benefits of implementing Rtl;  • barriers to implementing Rtl;  • changes to be expected when			
implementing RtI;			

Step	Implementation Rating (0, 1, 2)	Action Planning and Activities
required commitments and		
resources needed to implement		
RtI; and		
<ul> <li>examples of exemplars of Rtl</li> </ul>		
implementation.		
Step 2: Establish the rationale for		
district adoption of Rtl practices		
Demonstrate how RtI		
systematically connects to the		
Elementary and Secondary		
Education Act (ESEA),		
Individuals with Disabilities		
Education Act (IDEA) and other		
federal and state statutes and		
regulations.		
Analyze and demonstrate how		
Rtl systematically connects and		
is interdependent with existing		
district goals, mission, priorities		
and initiatives.		
Make connections to the		
potential impact on district		
values and beliefs.  Step 3: Identify internal and		
external partners who have a		
vested interest in Rtl.		
Step 4: Develop a communication		
plan:		
identify who will provide the		
information;		
<ul> <li>how the information will be</li> </ul>		
shared; and		
<ul> <li>when the information will be</li> </ul>		
communicated.		

Step	Implementation Rating (0, 1, 2)	<b>Action Planning and Activities</b>
Action 3: Examine and define district structures to support your Rtl initiative.		
Step 1: Identify current district/central office leadership structures.		
Step 2: Identify roles and responsibilities of each administrator.		
Step 3: Identify the current system(s) of accountability.		
Step 4: Define the leadership structures, roles and responsibilities of district/central office staff and systems of accountability needed to implement RtI; modify the current system as needed.		
Step 5: Identify funding sources that may be used to support Rtl.		
Step 6: Define the decisions to be made at the district level versus the building level (e.g., resource reallocation, purchasing materials.)		
Action 4: Build co	onsensus and support from internal and e	external stakeholders.
<b>Step 1:</b> Discuss the resources and commitments necessary to build consensus.		
<b>Step 2:</b> Identify the level of agreement or consensus needed to proceed with Rtl.		

Step 3: Obtain consensus from	
district/central office administration,	
internal and external stakeholders.	
Step 4: Document agreements in	
writing.	

## **Component 2: District Infrastructure Building**

Step	Implementation Rating (0, 1, 2)	Action Planning and Activities	
	Action 1: Form a District Leadership Team.		
Step 1: Form a district leadership			
team that is representative of			
district departments or programs			
(e.g., administration, general			
education, special education,			
English language learners			
evaluation and accountability).			
Step 2: Identify how the team will			
function (e.g., meeting dates and			
times, meeting processes,			
roles/functions within the team).			
Action 2: Identify the	roles that District/Central Administration v	vill play in implementing Rtl.	
Step 1: Define the role(s) of			
district/central office			
administrators in implementing			
Rtl.			
<b>Step 2:</b> Define the role of those			
who will supervise principals			
during implementation of Rtl.			
Step 3: Define the role of the			
building principal in implementing			
Rtl.			

Step	Implementation Rating (0, 1, 2)	Action Planning and Activities
Step 4: Define the role of central		
office support staff in supporting		
the implementation of Rtl.		
Action 3	: Develop and complete a district-level nee	ds assessment.
Step 1: Analyze the district's		
instructional initiatives or		
frameworks that support		
universal instruction.		
Step 2: Analyze the district's		
instructional initiatives or		
frameworks that support strategic		
instruction (Tier II).		
Step 3: Analyze the district's		
instructional initiatives or		
frameworks that support		
intensive instruction (Tier III).		
Step 4: Analyze the resources		
and support structures available		
to support the implementation of		
Rtl.		
Step 5: Conduct a gap analysis		
and develop an action plan to		
address identified needs.		
	iscuss and make decisions about the nece	•
	tl across universal, strategic and intensive	instruction.
Step 1: Discuss and make		
decisions about universal		
instruction:		
<ul> <li>What features are expected</li> </ul>		
to be in place in universal		
instruction?		
<ul> <li>What does universal</li> </ul>		
instruction look like at the		
elementary, middle school		

Step	Implementation Rating (0, 1, 2)	Action Planning and Activities
and high school levels?		
Is there an instructional		
framework that articulates		
essential elements of		
effective instruction for all		
students?		
Step 2: Discuss and make		
decisions about strategic		
instruction:		
What features are expected		
to be in place in strategic instruction?		
100		
What does strategic     instruction look like in a		
school?		
Will the district use a		
standard treatment protocol		
or a diagnostic-prescriptive		
process for strategic		
instruction?		
Can buildings select their		
own interventions or will they		
be asked to use a standard		
set of supplemental		
interventions?		
Step 3: Discuss and make		
decisions about intensive		
instruction:		
What features are expected		
to be in place in intensive		
instruction?		
What does intensive     inchristian leak like in a		
instruction look like in a school?		
SCHOOLS		

Step	Implementation Rating (0, 1, 2)	Action Planning and Activities
<ul> <li>Step 4: Discuss and make decisions about the assessment system: <ul> <li>What screening measures will be given in the district?</li> <li>What diagnostic assessments will be used in the district?</li> <li>What progress monitoring assessments will be used in the district?</li> </ul> </li> </ul>		
	: Review and discuss the current performa elation to universal, strategic and intensive	
Step 1: Identify the criteria for	, ,	
each of the three tiers of		
instruction (universal, strategic		
and intensive) based on research		
and mandates in the targeted		
content areas and grade levels.		
Step 2: Examine data to		
determine the percentage of		
students that fall into each tier		
based on the identified criteria.		
Identify the desired percentage of		
students that should fall into each		
tier (e.g, Do we want 85% of all		
students meeting proficiency in		
core? Do we want to see only		
5% of all students needing		
intensive instruction?)		
Step 3: Conduct a gap analysis		
for each tier. Compare the		

Step	Implementation Rating (0, 1, 2)	Action Planning and Activities
current percent of students'		
proficiency to the desired percent		
of proficient students and identify		
the gap between the two.		
Step 4: Analyze the data to		
determine why there is a		
difference in the desired		
outcomes and actual student		
performance at each tier		
(universal, strategic and		
intensive).		
Action	6: Identify an evaluation plan and data coll	ection system.
Step 1: Develop a district-wide		
evaluation process to assess:		
<ul> <li>the extent to which site</li> </ul>		
teams are implementing the		
features of RtI;		
<ul> <li>the impact of RtI on student</li> </ul>		
outcomes; and		
<ul> <li>the effectiveness of various</li> </ul>		
programs/practices used for		
strategic and intensive		
instruction.		
Step 2: Determine the data		
management system that will be		
used to assist in maintaining and		
summarizing tiered data.		
Step 3: Assess the need of the		
district and school sites in the		
use of technology for gathering		
and using data for Rtl.		
Step 4: Based upon the results		
of the technology needs		
assessment, establish and		

Step	Implementation Rating (0, 1, 2)	Action Planning and Activities
provide the necessary		
technological tools to facilitate		
the gathering and use of Rtl data.		
Step 5: Provide training on the		
use of the technology tools as		
needed.		
Action 7:	Develop an action plan to guide the imple	mentation of Rtl.
Step 1: Integrate Rtl with school		
improvement plans and other		
district initiatives.		
Step 2: Develop and deploy an		
integrated professional		
development plan across		
personnel (e.g., administrators,		
staff, parents) and across content		
domains.		
Step 3: Develop a plan for		
coaching support to build		
capacity and sustain support to		
sites that builds on the		
professional development plan.		
Step 4: Develop a vehicle for		
feedback and problem-solving		
support (e.g., where to go for		
information and whom to call with		
concerns).		
Step 5: Identify a plan to develop		
strong, well-trained school-based		
problem-solving teams that can		
provide 'on-the-ground' support		
for teachers, students and		
parents.		
Step 6: Determine how Rtl data		
will be used in evaluations for		

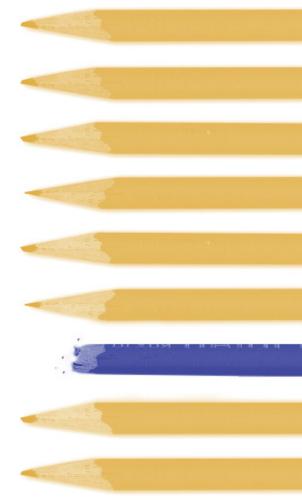
Step	Implementation Rating (0, 1, 2)	Action Planning and Activities
students suspected of having a		
disability and communicate this		
information to building staff.		
Step 7: Develop a systemic and		
thoughtful communication plan		
and schedule to follow as RtI is		
implemented; identify the nature,		
amount and frequency of		
information needed by various		
stakeholders.		
Step 8: Define which decisions		
will be made at the district level		
and those to be made at the		
building level during the		
implementation of Rtl.		

## **Component 3: District Level Implementation**

Step	Implementation Rating (0, 1, 2)	Action Planning and Activities
Action 1: Develop a multi-	year (at least 3-5 year) action plan to	o address implementation.
<b>Step 1:</b> Specify, in writing, procedures for robust implementation of RtI.		
Step 2: Define, develop and provide district professional development tailored to staff needs and experiences.		
Step 3: Develop a plan to build capacity at the district and school building levels.		
Step 4: Identify meeting times and structures for monthly Rtl support meetings.		

Step	Implementation Rating (0, 1, 2)	Action Planning and Activities
Step 5: Include a plan to address the on-		
going dissemination and sharing of		
implementation progress and outcome		
data.		
<b>Step 6:</b> Develop a plan to address attrition or succession of staff.		
Action 2: Im	plement the Rtl professional develo	pment plan.
Step 1: Identify a district/central training team.		
Step 2: Provide the district training team		
with sufficient time and resources to plan,		
develop, adopt and/or adapt RtI		
professional development for the district.		
Step 3: Train building level teams.		
Step 4: Have each building level team		
develop an implementation plan that		
includes an evaluation component.		
Step 5: Resources are delivered as		
planned by central office administration		
(e.g., professional development,		
personnel, funding) to support the implementation of Rtl.		
•	o ovaluation and data analysis plan	for Ptl implementation
Step 1: Data management systems and	e evaluation and data analysis plan	ioi Ku impiementation.
technology are implemented that allow the		
progress monitoring of district, school,		
classroom and individual student progress.		

Step	Implementation Rating (0, 1, 2)	Action Planning and Activities
Step 2: Develop a method to evaluate the		
implementation of universal, strategic and		
intensive interventions and their impact on		
student achievement.		
Step 3: Collect evaluation data for each		
building; aggregate these data up to the		
district level.		
Action 4: Maintain the implementation of Rtl.		
Step 1: Schedule regular meetings for the		
district leadership team to:		
<ul> <li>review progress of sites;</li> </ul>		
<ul> <li>review evaluation data;</li> </ul>		
<ul> <li>manage project resources;</li> </ul>		
<ul> <li>troubleshoot any issues that arise;</li> </ul>		
and		
<ul> <li>adjust implementation plans as</li> </ul>		
needed based upon the evaluation		
information.		
Step 2: Implement the communication		
plan as designed.		





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